

PTO/SB/21 (09-04)

2135 ✓  
TFA \$**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/814,091
	Filing Date	March 21, 2001
	First Named Inventor	Charles W. Knouse
	Art Unit	2135
	Examiner Name	Dada, Beemnet W.
Total Number of Pages in This Submission	Attorney Docket Number	021756-011700US

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): SB/08 64 References Return Postcard
<b>Remarks</b> The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	William J. Daley		
Date	5-4-2006	Reg. No.	52,471

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Noorjahan Gillow	Date	5-4-2006

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

May 4, 2006

TO TOWNSEND and TOWNSEND and CREW LLP

By:

*Noorjahan Gillow*  
Noorjahan Gillow

**PATENT**  
Attorney Docket No.: 021756-011700US  
Client Reference No.: OID-2005-167-01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Charles W. Knouse, et al.

Application No.: 09/814,091

Filed: March 21, 2001

For: ACCESS SYSTEM INTERFACE

Confirmation No.: 2979

Examiner: Dada, Beemnet W.

Art Unit: 2135

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER  
37 CFR §1.97 and §1.98

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

05/09/2006 FFANAEIA 00000009 201430 09814091

01 FC:1806 180.00 DA

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

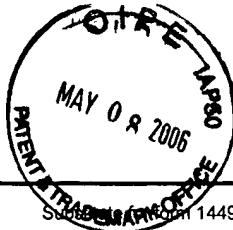
Respectfully submitted,



William J. Daley  
Reg. No. 52,471

Date: May 4, 2006

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
Tel: 303-571-4000  
Fax: 303-571-4321  
WJD:ng



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	09/814,091	
			Filing Date	March 21, 2001	
			First Named Inventor	Charles W. Knouse	
			Art Unit	2135	
			Examiner Name	Dada, Beemnet W.	
Sheet	1	of	11	Attorney Docket Number	021756-011700US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	A1	US-4,484,306	11-20-1984	Kulczycky et al.	
	A2	US-4,956,769	09-11-1990	Smith	
	A3	US-4,961,224	10-02-1990	Yung	
	A4	US-5,077,666	12-31-1991	Brimm et al.	
	A5	US-5,113,499	05-12-1992	Ankney et al.	
	A6	US-5,226,143	07-06-1993	Baird et al.	
	A7	US-5,428,795	06-27-1995	Johnson et al.	
	A8	US-5,455,953	10-03-1995	Russell	
	A9	US-5,530,861	06-25-1996	Diamant et al.	
	A10	US-5,557,742	09-17-1996	Smaha et al.	
	A11	US-5,581,691	12-03-1996	Hsu et al.	
	A12	US-5,678,041	10-14-1997	Baker et al.	
	A13	US-5,692,125	11-25-1997	Schloss et al.	
	A14	US-5,689,679	11-18-1997	Jouppi	
	A15	US-5,764,890	06-09-1998	Glasser et al.	
	A16	US-5,793,966	08-11-1998	Amstein et al.	
	A17	US-5,802,518	09-01-1998	Karaev et al.	
	A18	US-5,812,776	09-22-1998	Gifford	
	A19	US-5,819,271	10-06-1998	Mahoney et al.	
	A20	US-5,826,029	10-20-1998	Gore, Jr. et al.	
	A21	US-5,842,212	11-24-1998	Ballurio et al.	
	A22	US-5,872,969	02-16-1999	Copeland et al.	
	A23	US-5,875,461	02-23-1999	Lindhölm	
	A24	US-5,889,952	03-30-1999	Hunnicut et al.	
	A25	US-5,892,903	04-06-1999	Klaus	
	A26	US-5,893,149	04-06-1999	Hagersten et al.	
	A27	US-5,903,878	05-11-1999	Talati et al.	
	A28	US-5,908,469	06-01-1999	Botz et al.	
	A29	US-5,924,096	07-13-1999	Draper et al.	
	A30	US-5,940,394	08-17-1999	Killian	
	A31	US-5,944,780	08-31-1999	Chase et al.	
	A32	US-5,978,779	11-02-1999	Stein et al.	
	A33	US-5,991,771	11-23-1999	Falls et al.	
	A34	US-5,991,810	11-23-1999	Shapiro et al.	
	A35	US-5,991,881	11-23-1999	Conklin et al.	

Examiner Signature	Date Considered
-----------------------	--------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>			
		Application Number	09/814,091		
		Filing Date	March 21, 2001		
		First Named Inventor	Charles W. Knouse		
		Art Unit	2135		
		Examiner Name	Dada, Beemnet W.		
Sheet	2	of	11	Attorney Docket Number	021756-011700US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	A36	US-5,999,911	12-07-1999	Berg et al.	
	A37	US-6,005,571	12-21-1999	Pachauri	
	A38	US-6,012,059	01-04-2000	Neimat et al.	
	A39	US-6,026,474	02-15-2000	Carter et al.	
	A40	US-6,028,605	02-22-2000	Conrad et al.	
	A41	US-6,029,195	02-22-2000	Herz	
	A42	US-6,032,227	02-29-2000	Shaheen et al.	
	A43	US-6,041,357	03-21-2000	Kunzelman et al.	
	A44	US-6,058,381	05-02-2000	Nelson	
	A45	US-6,061,799	05-09-2000	Eldridge et al.	
	A46	US-6,064,656	05-16-2000	Angal et al.	
	A47	US-6,073,109	06-06-2000	Flores et al.	
	A48	US-6,073,174	06-06-2000	Montgomerie et al.	
	A49	US-6,081,518	06-27-2000	Bowman-Amuah	
	A50	US-6,088,679	07-11-2000	Barkley	
	A51	US-6,088,796	07-11-2000	Cianfrocca et al.	
	A52	US-6,098,056	08-01-2000	Rusnak et al.	
	A53	US-6,119,167	09-12-2000	Boyle et al.	
	A54	US-6,133,916	10-17-2000	Bukszar et al.	
	A55	US-6,138,104	10-24-2000	Marchak et al.	
	A56	US-6,151,531	11-21-2000	Frankel et al.	
	A57	US-6,157,925	12-05-2000	Jenkins et al.	
	A58	US-6,157,942	12-05-2000	Chu et al.	
	A59	US-6,163,844	12-19-2000	Duncan et al.	
	A60	US-6,170,013 B1	01-02-2001	Murata	
	A61	US-6,178,418 B1	01-23-2001	Singer	
	A62	US-6,182,086 B1	01-30-2001	Lomet et al.	
	A63	US-6,185,608 B1	02-06-2001	Hon et al.	
	A64	US-6,185,650 B1	02-06-2001	Boonie et al.	
	A65	US-6,192,476 B1	02-20-2001	Gong	
	A66	US-6,208,986 B1	03-27-2001	Schneck et al.	
	A67	US-6,212,558 B1	04-03-2001	Antur et al.	
	A68	US-6,212,640 B1	04-03-2001	Abdelnur et al.	
	A69	US-6,216,199 B1	04-10-2001	DeKoning et al.	
	A70	US-6,230,185 B1	05-08-2001	Salas et al.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	09/814,091	
			Filing Date	March 21, 2001	
			First Named Inventor	Charles W. Knouse	
			Art Unit	2135	
			Examiner Name	Dada, Beemnet W.	
Sheet	3	of	11	Attorney Docket Number	021756-011700US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A71	US-6,233,618 B1	05-15-2001	Shannon	
	A72	US-6,240,360 B1	05-29-2001	Phelan	
	A73	US-6,240,414 B1	05-29-2001	Beizer et al.	
	A74	US-6,253,248 B1	06-26-2001	Nakai et al.	
	A75	US-6,256,739 B1	07-03-2001	Skopp et al.	
	A76	US-6,266,420 B1	07-24-2001	Langford et al.	
	A77	US-6,275,944 B1	08-14-2001	Kao et al.	
	A78	US-6,282,546 B1	08-28-2001	Gleichauf et al.	
	A79	US-6,289,462 B1	09-11-2001	McNabb et al.	
	A80	US-6,301,668 B1	10-09-2001	Gleichauf et al.	
	A81	US-6,311,269 B2	10-30-2001	Luckenbaugh et al.	
	A82	US-6,314,492 B1	11-06-2001	Allen et al.	
	A83	US-6,321,338 B1	11-20-2001	Porras et al.	
	A84	US-2001-0054153 A1	12-20-2001	Wheeler et al.	
	A85	US-6,338,097 B1	01-08-2002	Krenzke et al.	
	A86	US-6,345,266 B1	02-05-2002	Ganguly et al.	
	A87	US-6,347,312 B1	02-12-2002	Byrne et al.	
	A88	US-6,347,374 B1	02-12-2002	Drake et al.	
	A89	US-6,357,010 B1	03-12-2002	Viets et al.	
	A90	US-2002-0032684 A1	03-14-2002	Kobayashi et al.	
	A91	US-6,366,913 B1	04-02-2002	Fittler, Jr. et al.	
	A92	US-6,385,653 B1	05-07-2002	Sitaraman et al.	
	A93	US-6,393,569 B1	05-21-2002	Orenshteyn	
	A94	US-6,415,321 B1	07-02-2002	Gleichauf et al.	
	A95	US-2002-0091745 A1	07-11-2002	Ramamurthy et al.	
	A96	US-2002-0091798 A1	07-11-2002	Joshi et al.	
	A97	US-6,421,682 B1	07-16-2002	Craig et al.	
	A98	US-6,421,781 B1	07-16-2002	Fox et al.	
	A99	US-2002-0099671 A1	07-25-2002	Mastin Crosbie et al.	
	A100	US-6,434,531 B1	08-13-2002	Lancelot et al.	
	A101	US-2002-0112083 A1	08-15-2002	Joshi et al.	
	A102	US-2002-0112155 A1	08-15-2002	Martherus et al.	
	A103	US-2002-0112185 A1	08-15-2002	Hodges	
	A104	US-2002-0116642 A1	08-22-2002	Joshi et al.	
	A105	US-6,442,567 B1	08-27-2002	Retallick et al.	

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	09/814,091	
			Filing Date	March 21, 2001	
			First Named Inventor	Charles W. Knouse	
			Art Unit	2135	
			Examiner Name	Dada, Beemnet W.	
Sheet	4	of	11	Attorney Docket Number	021756-011700US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	A106	US-2002-0120599 A1	08-29-2002	Knouse et al.	
	A107	US-6,453,342 B1	09-17-2002	Himmel et al.	
	A108	US-6,463,418 B1	10-08-2002	Todd	
	A109	US-6,463,509 B1	10-08-2002	Teoman et al.	
	A110	US-6,466,932 B1	10-15-2002	Dennis et al.	
	A111	US-6,470,386 B1	10-22-2002	Combar et al.	
	A112	US-2002-0165960 A1	11-07-2002	Chan	
	A113	US-6,487,663 B1	11-26-2002	Jaisimha et al.	
	A114	US-6,499,107 B1	12-24-2002	Gleichauf et al.	
	A115	US-6,507,847 B1	01-14-2003	Fleischman	
	A116	US-6,513,056 B1	01-28-2003	Copeland et al.	
	A117	US-6,519,643 B1	02-11-2003	Foulkes et al.	
	A118	US-6,519,648 B1	02-11-2003	Eyal	
	A119	US-6,526,438 B1	02-25-2003	Bienvenu et al.	
	A120	US-6,526,447 B1	02-25-2003	Giammaria	
	A121	US-6,536,037 B1	03-18-2003	Guheen et al.	
	A122	US-6,539,379 B1	03-25-2003	Vora et al.	
	A123	US-6,539,382 B1	03-25-2003	Byrne et al.	
	A124	US-6,539,396 B1	03-25-2003	Bowman-Amuah	
	A125	US-6,542,993 B1	04-01-2003	Erfani	
	A126	US-6,578,147 B1	06-10-2003	Shanklin et al.	
	A127	US-6,584,548 B1	06-24-2003	Bourne et al.	
	A128	US-6,584,569 B2	06-24-2003	Reshef et al.	
	A129	US-6,591,347 B2	07-08-2003	Tischler et al.	
	A130	US-6,598,058 B2	07-22-2003	Bird et al.	
	A131	US-2003-0145074 A1	07-31-2003	Penick	
	A132	US-2003-0149737 A1	08-07-2003	Lambert et al.	
	A133	US-6,609,205 B1	08-19-2003	Bernhard et al.	
	A134	US-2003-0158897 A1	08-21-2003	Ben-Natan et al.	
	A135	US-6,615,218 B2	09-02-2003	Mandal et al.	
	A136	US-6,629,132 B1	09-30-2003	Ganguly et al.	
	A137	US-6,636,891 B1	10-21-2003	LeClair et al.	
	A138	US-6,640,307 B2	10-28-2003	Viets et al.	
	A139	US-6,647,393 B1	11-11-2003	Dietterich et al.	
	A140	US-6,675,261 B2	01-06-2004	Shandony	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	09/814,091	
			Filing Date	March 21, 2001	
			First Named Inventor	Charles W. Knouse	
			Art Unit	2135	
			Examiner Name	Dada, Beemnet W.	
Sheet	5	of	11	Attorney Docket Number	021756-011700US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A141	US-6,697,849 B1	02-24-2004	Carlson	
	A142	US-6,711,632 B1	03-23-2004	Chow et al.	
	A143	US-6,718,328 B1	04-06-2004	Norris	
	A144	US-6,741,992 B1	05-25-2004	McFadden	
	A145	US-6,742,126 B1	05-25-2004	Mann et al.	
	A146	US-6,748,447 B1	06-08-2004	Basani et al.	
	A147	US-6,754,696 B1	06-22-2004	Kamath et al.	
	A148	US-6,757,708 B1	06-29-2004	Craig et al.	
	A149	US-6,763,370 B1	07-13-2004	Schmeidler et al.	
	A150	US-6,772,214 B1	08-03-2004	McClain et al.	
	A151	US-6,775,704 B1	08-10-2004	Watson et al.	
	A152	US-6,779,120 B1	08-17-2004	Valente et al.	
	A153	US-6,782,379 B2	08-24-2004	Lee	
	A154	US-6,804,221 B1	10-12-2004	Magret et al.	
	A155	US-6,859,834 B1	02-22-2005	Arora et al.	
	A156	US-6,868,406 B1	03-15-2005	Ogg et al.	
	A157	US-6,879,995 B1	04-12-2005	Chinta et al.	
	A158	US-6,901,433 B2	05-31-2005	San Andres et al.	
	A159	US-6,957,237 B1	10-18-2005	Traversat et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B1							<input type="checkbox"/>
	B2							<input type="checkbox"/>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/814,091
				Filing Date	March 21, 2001
				First Named Inventor	Charles W. Knouse
				Art Unit	2135
				Examiner Name	Dada, Beemnet W.
Sheet	6	of	11	Attorney Docket Number	021756-011700US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	Barrett, Debbie, "Diary Of A Break-And-Enter, Cyber Style," Technology in Government, p. 22, January 2000	<input type="checkbox"/>
	C2	Cholter, William La et al., "IBAN: Intrusion Blocker Based On Active Networks," Proceedings of the DARPA Active Networks Conference and Exposition (DANCE'02), 11 pages, 2002	<input type="checkbox"/>
	C3	"DNS - Contents," <a href="http://www2.rad.com/networks/1998/dns/main.htm">http://www2.rad.com/networks/1998/dns/main.htm</a> , 15 pages, December 7, 1999	<input type="checkbox"/>
	C4	Easter, C., "Method To Report Access Control Of LAN Server Resources On A Per User Basis," IBM Technical Disclosure Bulletin, p. 172, April 1992	<input type="checkbox"/>
	C5	Good, G., "The LDAP Data Interchange Format (LDIF) - Technical Specification," RFC 2849, 14 pages, June 2000	<input type="checkbox"/>
	C6	Hayes, Jeff, "Policy-Based Authentication And Authorization: Secure Access To The Network Infrastructure," IEEE, pp. 328-333, 2000	<input type="checkbox"/>
	C7	Hewlett-Packard, "HP Introduces Next-Generation Web Authorization Products For E-Business," Press Release, 3 pages, January 18, 1999	<input type="checkbox"/>
	C8	Hewlett-Packard, "HP Introduces Security For Microsoft NT Extranets, Portals And E-Services," Press Release, 3 pages, January 17, 2000	<input type="checkbox"/>
	C9	Hewlett-Packard, "HP Provides Unprecedented Range Of Authentication Options," Press Release, 3 pages, September 1, 1999	<input type="checkbox"/>
	C10	Hodges, J. et al., "Lightweight Directory Access Protocol (v3): Extension For Transport Layer Security," RFC 2830, 12 pages, May 2000	<input type="checkbox"/>
	C11	Howard, L., "An Approach For Using LDAP As A Network Information Service," RFC 2307, 20 pages, March 1998	<input type="checkbox"/>
	C12	Janis, "Reference Monitor-Creating Group Membership, IBM Technical Disclosure Bulletin, p. 431, March 1990	<input type="checkbox"/>
Examiner Signature			Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/814,091
				Filing Date	March 21, 2001
				First Named Inventor	Charles W. Knouse
				Art Unit	2135
				Examiner Name	Dada, Beemnet W.
Sheet	7	of	11	Attorney Docket Number	021756-011700US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C13	Luciani, J. et al., "Server Cache Synchronization Protocol (SCSP)," RFC 2334, 39 pages, April 1998	<input type="checkbox"/>
	C14	Netscape Communications Corporation, "Introduction To SSL," <a href="http://developer.netscape.com/docs/manuals/security/sslin/contents.htm">http://developer.netscape.com/docs/manuals/security/sslin/contents.htm</a> , 12 pages, October 9, 1998	<input type="checkbox"/>
	C15	Obliv, Inc., "Obliv CSA Solution Administration Guide," Version 3.5, 328 pages, 1999	<input type="checkbox"/>
	C16	Pfritzmman, Birgit et al., "Analysis Of Liberty Single-Sign-On With Enabled Clients," IEEE Internet Computing, pp. 38-44, November/December 2003	<input type="checkbox"/>
	C17	Phipatanasuphorn, Veradej et al., "Vulnerability Of Sensor Networks To Unauthorized Traversal And Monitoring," IEEE Transactions on Computers, Vol. 53, No. 3, pp. 364-369, March 2004	<input type="checkbox"/>
	C18	Piscitello, David M. et al., "Project Guards Laptop And Desktop Data," InfoWorld, pp. 48 and 54, June 21, 1999	<input type="checkbox"/>
	C19	Skaggs, B. et al., "Network Vulnerability Analysis," IEEE, pp. III-493-III-495, 2002	<input type="checkbox"/>
	C20	Stokes, E. et al., "Access Control Requirements For LDAP," RFC 2820, 9 pages, May 2000	<input type="checkbox"/>
	C21	Sun Microsystems, Inc., "Appendix B - ACL File Syntax," iPlanet Web Server: FastTrack Edition Administrator's Guide, 7 pages, July 13, 2000	<input type="checkbox"/>
	C22	Sun Microsystems, Inc., "Chapter 2 - Syntax And Use Of obj.conf," iPlanet Web Server, FastTrack Edition NSAPI Programmer's Guide, 16 pages, July 20, 2000	<input type="checkbox"/>
	C23	Sun Microsystems, Inc., "Chapter 12 - Controlling Access To Your Server," iPlanet Web Server: FastTrack Edition Administrator's Guide, 24 pages, July 13, 2000	<input type="checkbox"/>
	C24	US Patent Application No. 09/792,911, Office Action dated September 9, 2004, 18 pages	<input type="checkbox"/>
Examiner Signature			Date Considered

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/814,091
				Filing Date	March 21, 2001
				First Named Inventor	Charles W. Knouse
				Art Unit	2135
				Examiner Name	Dada, Beemnet W.
Sheet	8	of	11	Attorney Docket Number	021756-011700US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C25	US Patent Application No. 09/792,911, Final Office Action dated May 9, 2005, 17 pages	<input type="checkbox"/>
	C26	US Patent Application No. 09/792,911, Advisory Action dated August 9, 2005, 3 pages	<input type="checkbox"/>
	C27	US Patent Application No. 09/792,911, Office Action dated December 2, 2005, 13 pages	<input type="checkbox"/>
	C28	US Patent Application No. 09/792,915, Office Action dated July 23, 2004, 17 pages	<input type="checkbox"/>
	C29	US Patent Application No. 09/792,915, Final Office Action dated March 8, 2005, 19 pages	<input type="checkbox"/>
	C30	US Patent Application No. 09/792,915, Final Office Action dated June 30, 2005, 18 pages	<input type="checkbox"/>
	C31	US Patent Application No. 09/792,915, Office Action dated October 4, 2005, 17 pages	<input type="checkbox"/>
	C32	US Patent Application No. 09/792,918, Office Action dated September 8, 2004, 22 pages	<input type="checkbox"/>
	C33	US Patent Application No. 09/792,918, Final Office Action dated June 21, 2005, 16 pages	<input type="checkbox"/>
	C34	US Patent Application No. 09/792,918, Advisory Action dated September 20, 2005, 3 pages	<input type="checkbox"/>
	C35	US Patent Application No. 09/792,934, Office Action dated September 21, 2004, 19 pages	<input type="checkbox"/>
	C36	US Patent Application No. 09/792,934, Final Office Action dated June 2, 2005, 10 pages	<input type="checkbox"/>
Examiner Signature			Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/814,091
				Filing Date	March 21, 2001
				First Named Inventor	Charles W. Knouse
				Art Unit	2135
				Examiner Name	Dada, Beemnet W.
Sheet	9	of	11	Attorney Docket Number	021756-011700US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C37	US Patent Application No. 09/792,934, Office Action dated August 19, 2005, 5 pages	<input type="checkbox"/>
	C38	US Patent Application No. 09/793,196, Office Action dated July 14, 2004, 19 pages	
	C39	US Patent Application No. 09/793,196, Final Office Action dated March 8, 2005, 15 pages	
	C40	US Patent Application No. 09/793,196, Advisory Action dated July 21, 2005, 3 pages	
	C41	US Patent Application No. 09/793,196, Office Action dated December 13, 2005, 12 pages	
	C42	US Patent Application No. 09/793,320, Office Action dated August 4, 2004, 18 pages	<input type="checkbox"/>
	C43	US Patent Application No. 09/793,320, Final Office Action dated May 10, 2005, 19 pages	<input type="checkbox"/>
	C44	US Patent Application No. 09/793,320, Office Action dated September 20, 2005, 15 pages	<input type="checkbox"/>
	C45	US Patent Application No. 09/793,320, Final Office Action dated March 17, 2006, 18 pages	<input type="checkbox"/>
	C46	US Patent Application No. 09/793,354, Office Action dated October 1, 2003, 12 pages	<input type="checkbox"/>
	C47	US Patent Application No. 09/793,354, Final Office Action dated April 19, 2004, 15 pages	<input type="checkbox"/>
	C48	US Patent Application No. 09/793,354, Office Action dated January 4, 2005, 11 pages	<input type="checkbox"/>
Examiner Signature			Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/814,091
				Filing Date	March 21, 2001
				First Named Inventor	Charles W. Knouse
				Art Unit	2135
				Examiner Name	Dada, Beemnet W.
Sheet	10	of	11	Attorney Docket Number	021756-011700US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C49	US Patent Application No. 09/793,354, Final Office Action dated August 26, 2005, 9 pages	<input type="checkbox"/>
	C50	US Patent Application No. 09/793,354, Advisory Action dated December 15, 2005, 3 pages	<input type="checkbox"/>
	C51	US Patent Application No. 09/793,355, Office Action dated March 12, 2004, 13 pages	<input type="checkbox"/>
	C52	US Patent Application No. 09/793,355, Final Office Action dated April 6, 2005, 16 pages	<input type="checkbox"/>
	C53	US Patent Application No. 09/793,355, Advisory Action dated June 21, 2005, 7 pages	<input type="checkbox"/>
	C54	US Patent Application No. 09/793,355, Office Action dated September 7, 2005, 13 pages	<input type="checkbox"/>
	C55	US Patent Application No. 09/793,658, Office Action dated September 9, 2004, 14 pages	<input type="checkbox"/>
	C56	US Patent Application No. 09/793,658, Final Office Action dated November 2, 2005, 16 pages	<input type="checkbox"/>
	C57	US Patent Application No. 09/793,658, Advisory Action dated January 31, 2006, 3 pages	<input type="checkbox"/>
	C58	US Patent Application No. 09/886,515, Office Action dated December 28, 2004, 35 pages	<input type="checkbox"/>
	C59	US Patent Application No. 09/886,515, Office Action dated August 29, 2005, 35 pages	<input type="checkbox"/>
	C60	US Patent Application No. 09/886,515, Final Office Action dated February 14, 2006, 36 pages	<input type="checkbox"/>
Examiner Signature			Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/814,091
				Filing Date	March 21, 2001
				First Named Inventor	Charles W. Knouse
				Art Unit	2135
Examiner Name	Dada, Beemnet W.				
Attorney Docket Number	021756-011700US				
Sheet	11	of	11		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C61	Wahl, M. et al., "Authentication Methods For LDAP," RFC 2829, 16 pages, May 2000	<input type="checkbox"/>
	C62	Wahl, M. et al., "Lightweight Directory Access Protocol (v3)," RFC 2251, 48 pages, December 1997	<input type="checkbox"/>
	C63	Wu, Kun-Lung et al., "Personalization With Dynamic Profiler," IEEE, pp. 12-20, 2001	<input type="checkbox"/>
	C64	Yaacovi, Y. et al., "Lightweight Directory Access Protocol (v3): Extensions For Dynamic Directory Services," RFC 2589, 12 pages, May 1999	<input type="checkbox"/>

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.